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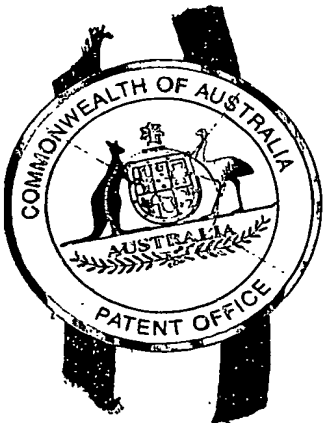
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I, JULIE BILLINGSLEY, TEAM LEADER EXAMINATION SUPPORT AND  
SALES hereby certify that annexed is a true copy of the Provisional specification  
in connection with Application No. 2002950345 for a patent by SCOTT  
MURPHY as filed on 24 July 2002.



WITNESS my hand this  
Twenty-second day of July 2003

*J. Billingsley*

JULIE BILLINGSLEY  
TEAM LEADER EXAMINATION  
SUPPORT AND SALES

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**AUSTRALIA**

***Patents Act 1990***

# **PROVISIONAL SPECIFICATION**

**Invention Title:      Method and system for supplying digital files to a customer**

**The invention is described in the following statement:**

## METHOD AND SYSTEM FOR SUPPLYING DIGITAL FILES TO A CUSTOMER

The present invention relates generally to the supply of digital files to a customer from a content owner, and in particular to the supply of digital files via a telecommunications network, such as the Internet. It will be convenient to describe the invention in relation to the supply of musical audio files to a customer via the Internet, however it will be appreciated that the invention is suitable for use in the supply of all audio, video and/or data files.

Record companies or artists traditionally sell their product to consumers by providing digital music files to manufacturers of compact disc. The manufacturers record the music files on the compact disc and distribute the recorded compact discs through distributors to retailers. Consumers then purchase the record compact discs from the retailers.

Whilst this method of purchasing music is generally accepted by consumers, it nevertheless requires the consumer to purchase music files in the preselected group recorded on a particular compact disc. Selection of individual music files is not available to the consumer by this method.

A less frequently used method of purchasing music files is provided by some record companies that maintain a database of music files accessible via the Internet from a record company server. Consumers are able to access the record company server from a personal computer connected to the Internet, and then download selected music files. The ability to access the music files is dependent upon the provision by the consumer of appropriate credit card details, and authorisation by a financial institution of the purchase of the music files by the consumer. The downloaded music file may be then stored on the hard drive of the personal computer, and played back to the consumer by sound reproduction software and a speaker system connected to the personal computer. Alternatively, the music files may be recorded on a recordable compact disc. The compact disc can then be used in a conventional compact disc player.

Whilst this method of obtaining music files provides greater flexibility to the customer, it is relatively infrequently used. Consumers must firstly purchase one or more blank recordable compact discs. The consumer must then be familiar with locating the websites of various record companies providing downloadable music files. Moreover, this activity is dissociated from the regular music purchasing activities of a consumer.

It would be desirable to provide a method of supplying music and other digital files to customers in a simple, efficient manner.

It would also be desirable to provide a method of supplying music and other digital files to a customer that ameliorated or overcame one or more problems of existing music file supply methods and systems.

With this in mind, one aspect of the present invention provides:

a method for supplying digital files to a customer via a data communications network, the method including the steps of:

- (a) providing a recordable medium to the customer,
- (b) registering details of the customer and the recordable medium in a data bank,
- (c) receiving a customer request to supply one or more digital files,
- (d) authenticating the recordable medium and the identity of the customer,
- and
- (e) if authentication is successful, supplying the requested one or more digital files from the data bank to a client terminal via the data communications network for recordal on the recordable medium.

The recordable medium may be a recordable compact disc.

The recordable compact disc may be connectable to the client terminal by a recordable compact disc drive.

The digital files may be music files or other video, audio or data content.

The recordable medium supplied to the customer in step (a) may include one or more pre-recorded digital files.

The recordable medium may include a recordable medium identifier.

The method may include:

registering the recordable medium identifier and the customer details in the data bank in step (b), and

5 detecting the recordable medium identifier from the recordable medium in step (d).

The customer details may include a customer name and password.

Step (d) may include:

receiving customer entered validation data, and

10 comparing the validation data to the customer name and password stored in the data bank.

The data bank may be maintained by a predetermined content supplier.

the method may include:

receiving the customer request in step (c) at an intermediary server;

identifying the predetermined content supplier, and

15 directing the customer request to the data bank of that predetermined content supplier.

The recordable medium may include a content supplier identifier.

The method may include:

20 identifying the predetermined content supplier by detecting the content supplier identifier at the intermediary server.

The method may further include:

issuing the manufacturer and retailer with unique identifying codes.

The method may further include:

processing customer payment for the one or more digital files.

25 The customer payment may be processed when the recordable medium is provided to the customer in step (a).

The customer payment may relate to the pre-recorded digital files only.

The customer payment may alternatively relate to the pre-recorded digital files and one or more digital files supplied in step (e).

30 The customer payment may be processed when a customer request is

received in step (c).

The method may further include:

providing the customer with notification of one or more digital files able to be supplied from the data bank.

5       The notification may be realised by the transmission of an SMS message, email or other electronic communication.

Another aspect of the invention relates to a system for supplying digital files to a customer via a data communications network, including a content owner server and data bank, retail server and local client including computer software to enable  
10       the steps of the above described method to be carried out.

Yet another aspect of the invention provides a content owner server forming part of the system for supplying digital files to a customer via a data communications network.

Yet another aspect of the invention provides a retail server for use in the  
15       system for supplying digital files to a customer via a data communications network.

Yet another aspect of the invention provides computer software for use in each of the content owner's server, retailer server and client forming part of the system for supplying digital files to a customer via a data communications network.

For assistance in arriving at an understanding of the invention, one example  
20       of the method and system for supplying digital files to a customer via a data communications network is illustrated in the attached drawings. The particularity is not to be understood as superseding the generality of the invention.

In the drawings:

Figure 1 is a schematic diagram illustrating the production and supply to a  
25       customer of a compact disc as part of one embodiment of a method for supplying digital files to a customer according to the present invention.

Figure 2 is a schematic diagram of one embodiment of a system for supplying digital files to a customer via a data communications network in conjunction with the method of Figure 1; and

30       Figures 3 to 5 are flow charts showing the functional steps performed by the

system of Figure 2.

Referring now to Figure 1, there is shown generally a manufacturer 1 of compact discs, record companies 2 to 4, a retailer 5, distributor 87, and a consumer 6. The manufacturer 1 manufactures compact discs or other recordable media on behalf of the content owners 2 to 4. The manufacturer 1 embeds code representative of a unique compact disc identifier on each compact disc. Code representative of a content owner identifier is also embedded on the compact disc. Optionally, music files or other content is provided from each of the content owners and also included on each manufactured disc. Data representative of the compact disc identifier, content owner identifier, manufacturer identifier and any music files encoded on the compact disc are recorded in data banks 7 to 9 maintained respectively by the content owners 2 to 4.

Each compact disc may also be pressed with an existing CD copy protection system, ensure that the compact disc identifier, content owner identifier and music file encoded on the compact disc at this stage cannot be copied in any way at a later time.

The manufacturer then provides the pre-pressed compact discs to the retailer 5 via a distributor 87 for resale, either through traditional outlets and/or via a retailer website, to the consumer 6. Alternatively, websites may be maintained by the manufacturer and/or the content owners 2 to 4 to facilitate the direct purchase of the compact discs by the consumer 6. The consumer 6 has thus purchased the compact disc from the retailer 5 in a conventional manner, and if that compact disc has been encoded with one or more pre-recorded music files, is able to insert that compact disc into a compact disc player and listen to the pre-recorded music files.

If the consumer wishes to include additional music files on the compact disc, the consumer 6 does so by accessing a personal computer and associated recordable compact disc (CD-RW) drive connected to the Internet. Referring now to Figure 2, an exemplary personal computer 20 and associated CD-RW drive 21 are illustrated. The personal computer 20 is connected to the Internet 22 or other data communications network. Servers maintained by each of the content owners 2 to 4

are also connected to the Internet 22. An exemplary content owner server 23, providing access to the content owner data bank 7, is illustrated in this Figure. Figure 2 also shows a retail server 24 maintained by the retailer 5 and a bank server 25 and associated credit processing system 26 accessible from the Internet 22 to facilitate the processing of customer payment for music files. A printer 27 is also shown as being connected to the personal computer 20.

In addition, the content owner server 23 is connected to a GSM network 28 and bay station 29 for providing notification, via an SMS message, to a mobile telephone 30 associated with the customer 6.

As seen in Figure 3, the compact disc purchased by the consumer 6 is inserted at step 40 in the CD-RW drive 21 associated with the personal computer 20. The personal computer 20 may be located at the home or the work place of the customer, or alternatively may be provided at a retail outlet of the retailer 5.

At step 41, once the customer has established a connection to the Internet 22, conventional web browsing software is used to establish a session with a website maintained by the retailer 5 on the retailer server 24. It will be appreciated that in other embodiments of the invention, the website accessed by the customer at this step may be maintained by one of the content owners 2 to 4, the manufacturer 1 or another party.

By clicking on the relevant icons provided on that website, the consumer then proceeds to register as the owner of the compact disc. At step 42, a request for registration of the compact disc is transmitted from the personal computer 20 to the retailer server 24. Upon receipt of that request, the retailer server acts, at step 43, to read the unique compact disc identifier encoded on the compact disc.

At step 44, the retailer server acts to read the content owner's identifier encoded on the compact disc. The retailer server 24 maintains data tables to enable a determination to then be made at step 45 of the identity of the content owner on behalf of which the manufacturer 1 has manufactured the compact disc. The data tables include the IP address of the content owner server 23 maintained by that content owner. At step 46, the retailer server 24 directs the customer request from



the personal computer 20 to the content owner server 23. A connection session is then effectively established between the personal computer 20 and the content owner server 23 via the intermediary retailer server 24. The content owner server 23 then requests that the customer provide registration details. Initially, at step 47, the customer is requested to provide their name and select an associated password. The customer can optionally provide notification data indicative of a medium, such as email, SMS message or other electronic communication means, by which the customer prefers to be advised of release dates of existing or future digital music files which will be available for downloading and recordal on the compact disc.

At step 48, the customer enters the data via the personal computer 20. The information provided by the consumer is then stored in the content owner data bank 7 in a record including the unique compact disc identifier and content owner identifier.

At step 49, the consumer may be requested to provide demographic data, such as age, address, musical preferences, media preferences, etc, for use by the content owner 2. The consumer may also be asked to give permission to the content owner 2, and optionally the retailer 5, to send the consumer information relating to other products or services offered by the content owner 2 and/or the retailer 5. The demographic data may be entered at step 50. At step 51, the data entered by the consumer is stored in the content owner data bank 7.

Once new music files are loaded onto the content owner data bank 7 at step 60 in Figure 4, the characteristics of that music file are then matched to the stored customer notification data at step 61. For those customers having requested notification of that music file, a notification message is generated at step 62 by the content owner server 23. At step 63, the notification message is transmitted as an email via the Internet or transformed into an SMS message and transmitted over the GSM network 28 to the bay station 29. The SMS message is then transmitted from the bay station 29 and received, at steps 64 by the mobile telephone 30 associated with the customer. The customer is then alerted to the presence of the music file on the content owner data bank 7.

In order to download music files maintained on the content owner data bank 7, the customer again inserts the compact disc purchased from the retailer 5 into the CD-RW drive 21, at step 70 in Figure 5. By using the conventional web browsing software loaded on the personal computer 20, the consumer establishes a connection  
5 with the retail server 24 at step 71. By clicking on appropriate icons on the website maintained by the retailer 5, a customer request to download one or more music files is generated at step 72. Upon receipt of this customer request, the retailer server 24, at step 73, reads the unique compact disc identifier stored on the compact disc. At step 74, the retailer server 24 then reads the content owner identifier  
10 encoded on the compact disc. From the data tables maintained by the retailer server 24, a determination is made of the content owner on behalf of the manufacturer 1 manufactured the compact disc in question.

At step 76, the retailer server forwards the customer request to the relevant content owner server. At step 77, the content owner server 23 requests entry of the  
15 customer's name and password. At step 78, the customer enters that data via the personal computer 20. At step 79, the entered customer name and password is being compared to the corresponding customer record maintained in the content owner data bank 7, in order to authenticate the customer and compact disc identity.

Once the identity of the customer and the customer's ownership of the  
20 compact disc has been verified, the customer is able to download music files stored on the content owner data bank 7. At step 80, the content owner server 23 establishes whether at the time of purchase of the compact disc from the retailer 5, the consumer had paid for only the pre-recorded music file initially provided with the compact disc, or had paid for the right to be able to download additional music  
25 files at a later time. If the customer had paid for the right to download additional music files at the time of purchase, the content owner server 23 determines at step 80 that the customer account is in credit. An amount corresponding to the number of music files selected by the customer is then debited from the customer's account at step 81. A customer may also purchase the right to be able to download  
30 additional music files via the Internet at any time after the purchase of the compact

disc.

At step 82, the selected music files are then served from the content owner server 23 to the customer's personal computer 20. At step 83, the personal computer 20 acts to record the digital music files on the compact disc in the CD-RW drive 21.

If the customer had paid for only the pre-recorded music files provided with the compact disc at the time of purchase, or had used funds in his or her account by having previously downloaded one or more additional music files, the customer account is determined at step 80 as being in debit. If this is the case, the content owner server 23 requests, at step 84, that the customer provide details of his or her credit card. At step 85, details of the customer's credit card are forwarded to the bank server 25 for processing by the credit processing system 26. If an authorisation message is received from the bank server 25, the customer is able to download a requested music file.

Upon receipt of an authorisation message from the bank server 25 by the content owner server 23, at step 86, the customer is able to download the requested music files from the content owner data bank 7. If at step 86 authorisation is not provided, downloading of music files is prevented.

As shown in figure 6, at step 89, the customer may also be referred to the retailer 5 for payment. Upon receiving payment, at step 90, the retailer 5 requests the retail server 24 to establish a connection with the content owner server 23, shown in step 91. Once a connection is established, at step 92, between the retail server 24 and the content owner server 23, the retail server 24 requests the content owner server 23 to update the content owner data bank 23 at step 93 with the customer's payment. If a connection is not established at step 92, the retail server again requests a connection with the content owner server, step 91. When the customer's account is in credit, the customer may attempt to download files again, at step 7 in figure 5.

Prior to downloading the music file, the registered customer can elect to preview the music file before deciding whether or not to purchase and download the

music file for recordal on the compact disc. If the consumer elects to preview a music file, a selected portion of that music file may be streamed to the personal computer 20 so that the music file may be heard but not downloaded. A limitation may be placed on the number of times each music file may be streamed.

5        Customers may typically repeat the purchasing and downloading of music tracks as many times as the content owner in question issues new digital music files for a particular compact disc.

10        Customers may also purchase compact disc jukebox software from websites maintained by selected retailers. Some software, once installed on the hard drive of the personal computer 20, typically catalogues all information related to the compact disc music files owned by that consumer, as well as additional data such as artists discographies, artists photos, artists biographies, etc. The principle function of the jukebox software is to enable the consumer to play the digital music files recorded on the compact disc in order on the computer. With each download of a  
15        digital music file from the content owner server 23 may come updated information pertaining to that recording, as well as additional artists related information.

20        Along with the download of the digital music files, a registered customer may also elect to download to the hard drive a digital file which is a colour art document to be printed on the printer 27 for use as a colour cover (known in the industry as a "slick") for the compact disc. The slick may contain details of the new and existing music files downloaded by the customer and recorded on the company disc.

25        Each registered customer of a compact disc may log onto the content owner server 23 at any time, either directly or via the retailer server 24, in order to update their profile and/or advise the content owner of the loss or theft of their compact disc. The customer record in the content owner data bank 7 may thereafter be amended so as to prevent the download of music files.

30        In the above described example, consumer pays for the initial compact disc purchase (the compact disc may or may not include one or more digital music files at the time of purchase), and then pays for any additional music file purchases. In

other embodiments of the invention, however, the consumer may pay at the time of purchase of the compact disc for one or more future music file downloads.

The above described example requires the entry of credit card information by a customer in order to purchase additional music file downloads, however in an  
5 alternative embodiments other payment systems may be used, such as existing bank payment transfer systems, payment at a retailer or cheque processing systems.

It will also be appreciated that the content owner server 23, retailer server 24, bank server 25 and personal computer 20 include computer software to enable the  
above described functionality to be carried out.

10 Finally, it is to be understood that various modifications and/or additions may be made to the method and system for supplying digital files to a customer without departing from the spirit or ambit of the present invention.

Dated: 24 July, 2002

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**FREEHILLS CARTER SMITH BEADLE**

Patent Attorneys for the Applicant

**SCOTT MURPHY**

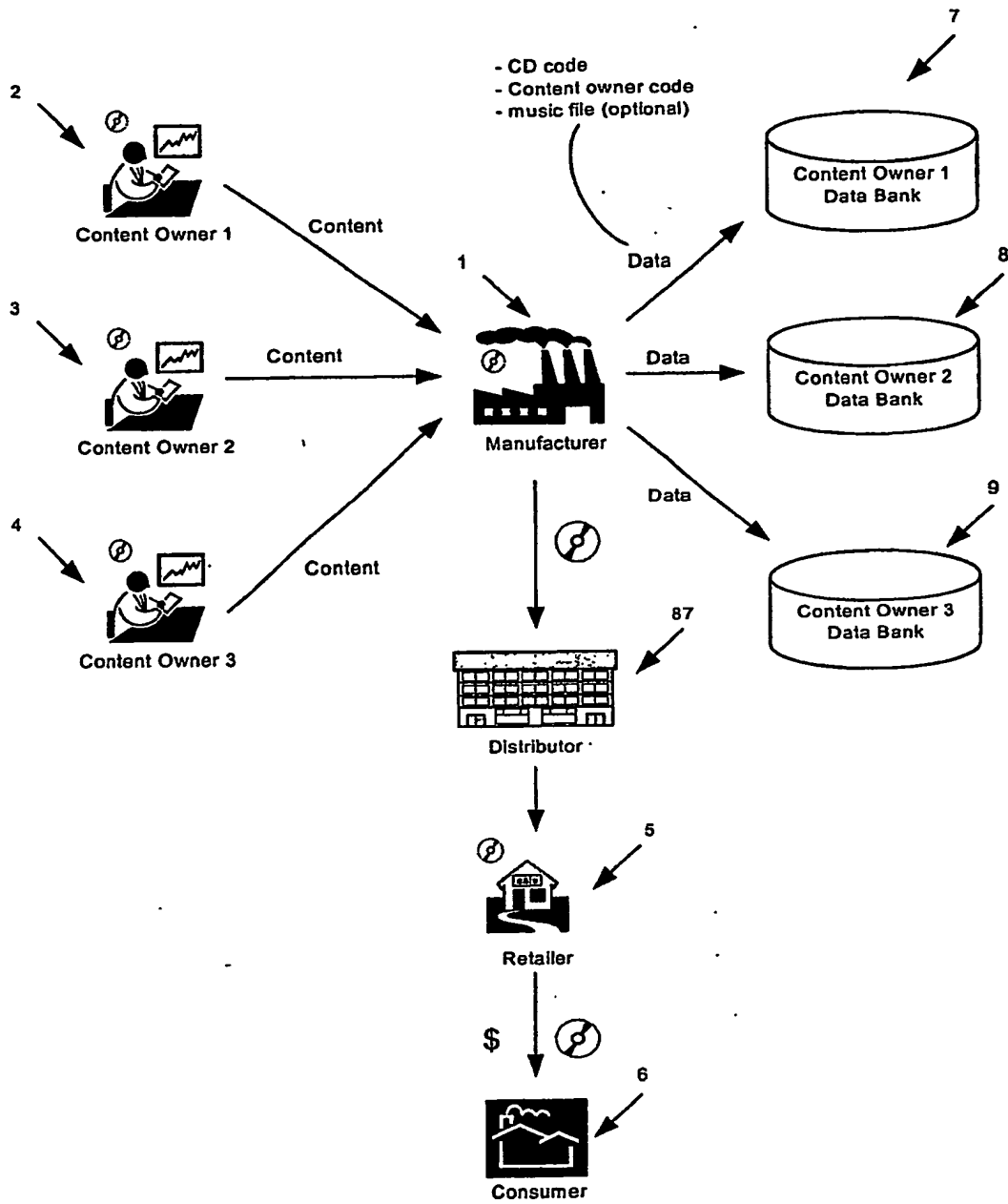


Figure 1

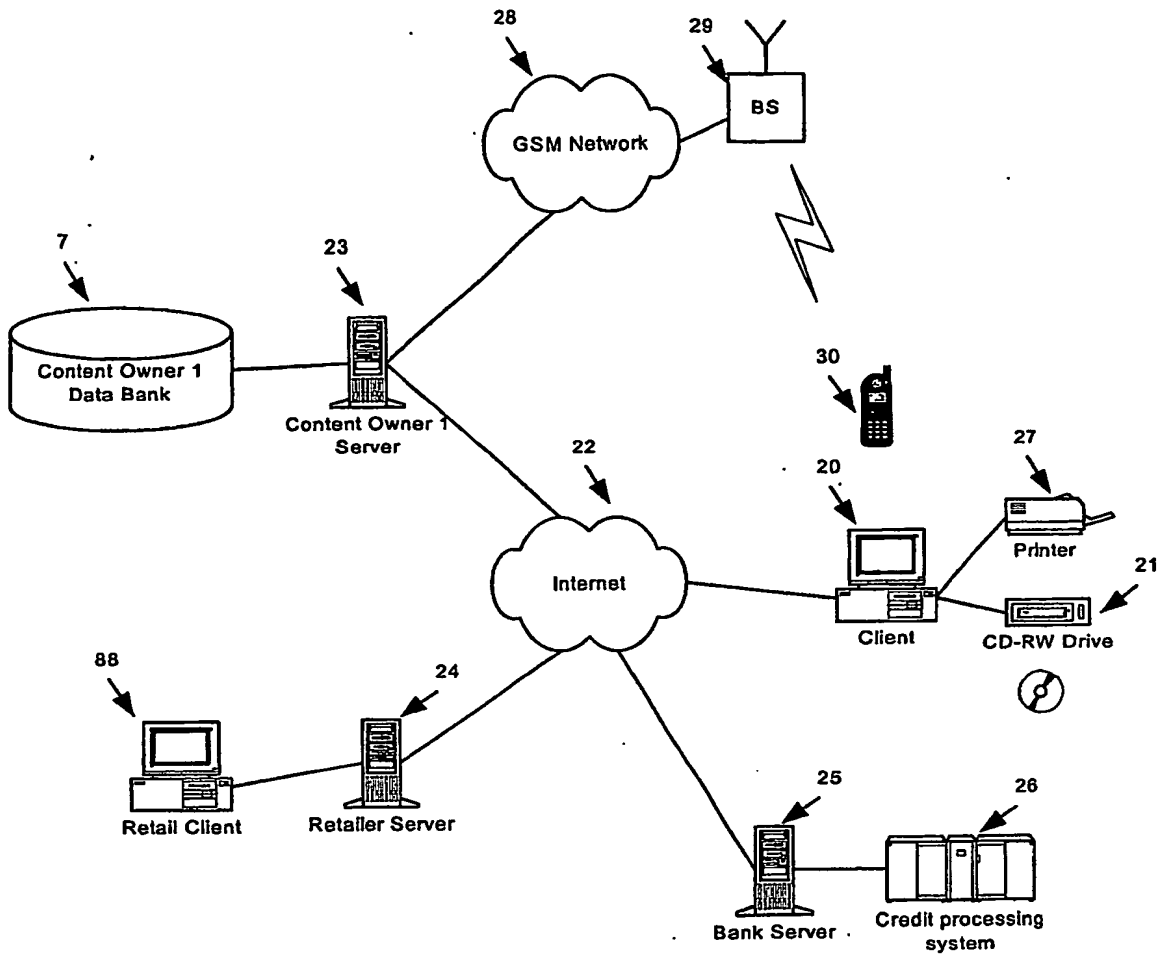
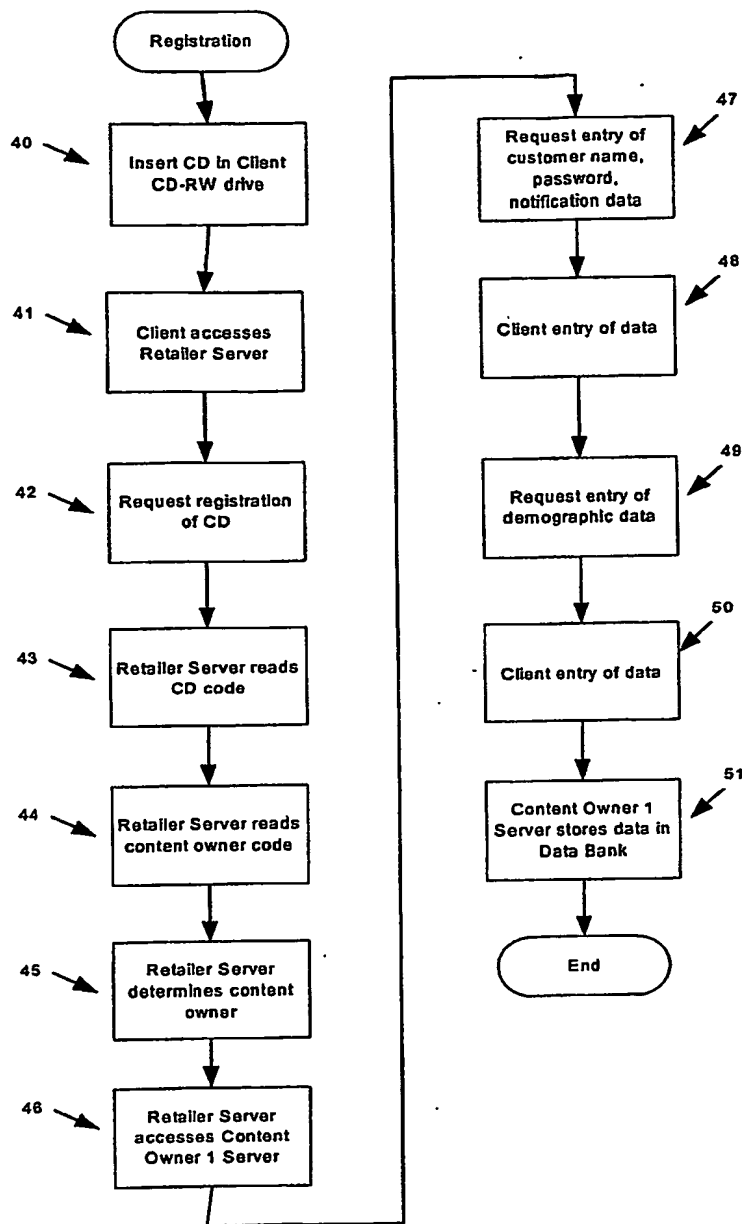
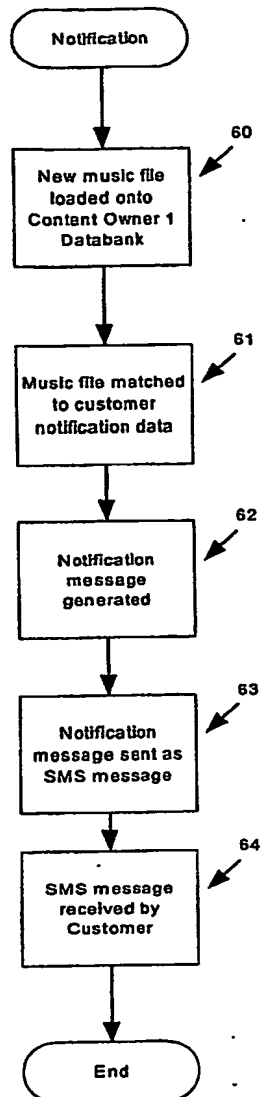


Figure 2

Figure 3





**Figure 4**

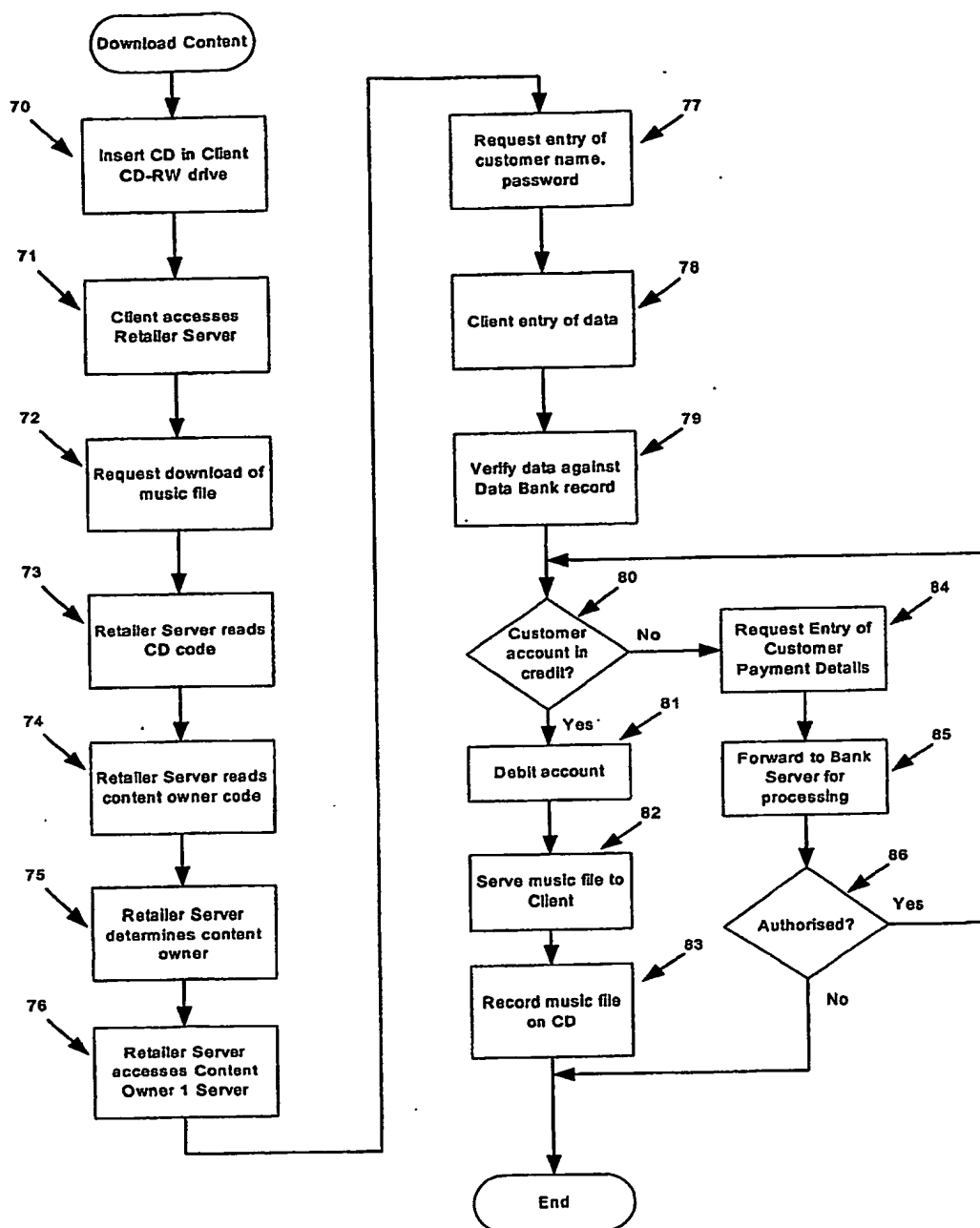
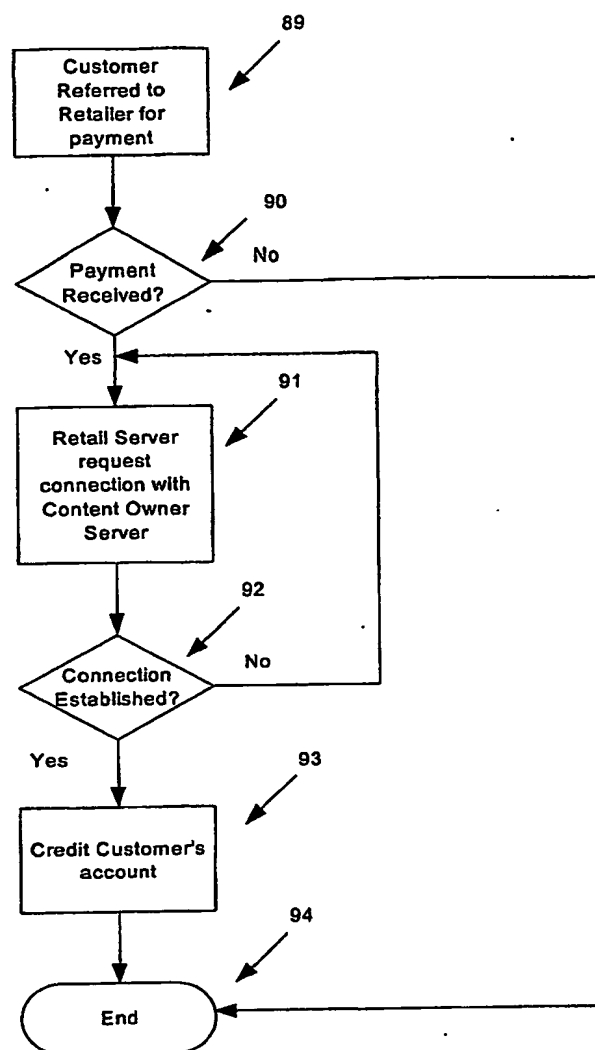


Figure 5



**Figure 6**